PATENT Customer No. 22,852 Attorney Docket No. 06502.0054-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ann M. WOLLRATH et al.

1.53(b) Divisional of Serial No 09/044,933, filed March 20, 1998

Filed Herewith

For:

METHOD AND APPARATUS FOR TRANSPORTING BEHAVIOR IN AN EVENT-BASED DISTRIBUTION SYSTEM Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned



Commissioner for Patents and Trademarks Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed together with the filing of the above-referenced divisional application.

Copies of some of the listed documents were previously submitted in a prior application, application no. 09/044,933, filing date March 20, 1998, upon which applicant relies for the benefits provided in 35 U.S.C. § 120. Copies of the listed documents that were not previously submitted, including any copending patent applications, are attached. Applicant respectfully requests that the Examiner consider

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the listed documents and indicate that they were considered by making appropriate notations on the attached form.

For the Examiner's convenience, the following is a list of references not previously submitted and attached herewith:

U.S. Patent Number	Issued Date	Name
US 4,430,699	2/7/1984	Segarra et al.
US 4,558,413	12/10/1985	Schmidt et al.
US 5,392,280	2/21/1995	Zheng
US 5,758,344	5/26/1998	Prasad et al.
US 5,784,560	7/21/1998	Kingdon et al.
US 5,845,090	12/1/1998	Collins, III et al.
US 5,913,029	6/15/1999	Shostak
US 5,933,647	8/3/1999	Aronberg et al.
US 5,949,998	9/7/1999	Fowlow et al.
US 5,951,652	9/14/1999	Ingrassia, Jr. et al.
US 5,963,947	10/5/1999	Ford et al.
US 5,974,201	10/26/1999	Chang et al.
US 5,978,484	11/2/1999	Apperson et al.
US 5,999,988	12/7/1999	Pelegri- Llopart et al.
US 6,023,586	2/8/2000	Gaisford et al.
US 6,052,761	4/18/2000	Hornung et al.
US 6,058,383	5/2/2000	Narasimhalu et al.
US 6,067,575	5/23/2000	McManis et al.
US 6,085,255	7/4/2000	Vincent et al.
US 6,108,346	8/22/2000	Doucette et al.
US 6,185,602	2/6/2001	Bayrakeri
US 6,185,611	2/6/2001	Waldo et al.

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US 6,226,746	5/1/2001	Scheifler
US 6,247,091	6/12/2001	Lovett
US 6,282,295	8/28/2001	Young et al.
US 6,282,568	8/28/2001	Sondur et al.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: December 17, 2001

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